## NATIONAL CAPITAL PLANNING COMMISSION Senior Agency Official Records Management 2021 Annual Report

	Name of SAORM: Debra L. Dickson  Parities with a Director Office of Administration.
	<ul> <li>Position title: Director, Office of Administration</li> <li>Address: 401 9th Street, Suite 500, Washington, DC 20004</li> </ul>
1.	What agencies, bureaus, components, or offices are covered by this report and your position as SAORM and which will be reporting separately?
	National Capital Planning Commission
2.	Has the COVID-19 pandemic changed any policies or practices related to records management at your agency?
	□Yes
	<b>X</b> No
	☐ Do not know
	The National Capital Planning Commission's permanent and temporary records are now maintained electronically. During the pandemic, the NCPC was delayed in transferring the last remaining paper records to NARA. No specific changes to records management policie or practices were required.
3.	Does your agency have an established information governance framework that connects records management, data management, and other agency information lines of business? (This includes a relationship between CIO, CDO, SAORM, DRO/ARO, RM Staff, Security, Privacy Officers and FOIA)
	□Yes
	X No
	☐ Do not know
	The NCPC is a micro-agency with a staff of 35 full time employees; as such, the agency has not implemented a formal information governance framework. However, given the size of the agency, records and data management are integrated into daily work and program

operations.

4.	Will your agency meet the goal to manage and preserve all permanent records in an electronic format with appropriate metadata by December 31, 2022? (M-19-21, 1.2)
	X Yes □ No
	☐ Do not know
	The NCPC has undergone a digitization project to scan and categorize any files that have met the criteria for a permanent record. By December 31, 2022, all permanent records will be managed in an electronic format.
5.	Will your agency meet the goal to manage and preserve all <u>temporary</u> records in an electronic format by December 31, 2022? (M-19-21, 1.3)
	<b>X</b> Yes
	□ No
	☐ Do not know
	As noted previously, for several years now, the NCPC has undertaken a digitization project to scan and categorize any files that have met the criteria for a permanent record. By December 31, 2022, all temporary records will be managed in an electronic format.
6.	Does your agency have plans to submit to NARA a request for an exception to the M-19-21 requirements before December 31, 2022?
	□ Yes
	<b>X</b> No
	☐ Do not know
	NCPC has undertaken a digitization project to scan and categorize any files that have met the criteria for a permanent record. By December 31, 2022, all permanent and temporary records will be managed in an electronic format.
7.	Is your agency utilizing the General Services Administration's Special Item Number for Electronic Records Management ( <u>518210 ERM</u> ) 6 to procure solutions to assist in transitioning to an Electronic Environment?
	□Yes
	<b>X</b> No
	☐ Do not know
	NCPC has had an electronic project management database in place that manages the agency's permanent records, as such, NCPC does not have a need to procure solutions to assist in transitioning to an Electronic Environment.

8.	Has your agency developed plans to meet the requirements of M-19-21, 1.3, to store temporary records in commercial storage facilities by December 31, 2022?*
	□ Yes
	<b>X</b> No
	☐ Do not know
	The NCPC does not have an agency-operated records centers and will not store records in any commercial storage facilities.
9.	Do you, as the SAORM, see challenges within your agency in meeting the goal of fully-electronic recordkeeping?
	□ Yes
	<b>X</b> No
	☐ Do not know
	At present, there are no foreseen challenges to meeting the goal of fully-electronic recordkeeping.
10.	NARA is always working on ways we can make your role as the SAORM easier, improve how we interact with you and how you interact with each other. Do you have any suggestions?
	□ Yes
	X No
	☐ Do not know
	Currently, there are no suggestions for NARA to improve its engagement with the NCPC.